

On the History of the Bezoutian and the Resultant Matrix

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ABSTRACT

It is shown how the Bezoutian and the resultant matrix evolved from Euler's work in elimination theory.

1. INTRODUCTION

Linear systems theory with its emphasis on polynomials and rational functions has created a new demand for those parts of matrix theory which are related to coprimeness and greatest common divisors. The Bezoutian and the resultant matrix are classical tools in that area.

Let $F = \sum f_i x^i$ and $G = \sum g_j x^j$ be two polynomials over a field K such that $\deg g = m \leq \deg f = n$. The Bezoutian

$$B = (b_{ij}) \in K^{n \times n}$$

of F and G is a symmetric matrix defined by

$$\frac{F(x)G(y) - F(y)G(x)}{x - y} = \sum_{i=1}^n \sum_{j=1}^n x^{i-1} b_{ij} y^{j-1}. \quad (1.1)$$

The resultant matrix is a $(m+n) \times (m+n)$ matrix over K of the form

$$H = (F_{(m)}, G_{(n)}),$$

where

$$F_{(m)} = \underbrace{\begin{pmatrix} f_n & & & \circ \\ \vdots & \ddots & & \\ f_1 & & f_n & \\ f_0 & \ddots & \vdots & f_n \\ & \ddots & f_1 & \vdots \\ & & f_0 & f_1 \\ \circ & & & f_0 \end{pmatrix}}_m$$

and

$$G_{(n)} = \underbrace{\begin{pmatrix} g_m & & & \circ \\ \vdots & \ddots & & \\ g_1 & & g_m & \\ g_0 & \ddots & \vdots & g_m \\ & \ddots & g_1 & \vdots \\ & & g_0 & g_1 \\ \circ & & & g_0 \end{pmatrix}}_n$$

It is well known that the matrices B and H provide information on the greatest common divisor of F and G .

THEOREM (See e.g. [6]). *For two polynomials F and G the following statements are equivalent:*

- (a) $\det B \neq 0$,
- (b) $\det H \neq 0$,
- (c) $(F, G) = 1$.

Both the Bezoutian and the resultant matrix have a history which goes back to the 18th century. In this note I would like to describe the elimination problem which gave rise to the matrices B and H . In Euler's *Introduction to Analysis* [4] a system of linear equations appears which has H as its coefficient matrix. Another approach of Euler was elaborated by Bezout [1] and led to the matrix which carries his name.

2. ELIMINATION

We start with an example which is taken from Euler's *Introduction* [4, §479]. Let two algebraic curves be given by

$$F(x, y) = f_0 + f_1y + f_1y^2 = 0 \quad (2.1)$$

and

$$G(x, y) = g_0 + g_1y + g_2y^2 = 0 \quad (2.2)$$

such that $f_i, g_i \in \mathbb{R}[x]$, $i = 0, 1, 2$, and $f_0 \neq 0$ or $g_0 \neq 0$. In order to find the intersection of the two curves, one might wish to eliminate the indeterminate y from (2.2) and (2.1) to obtain a "resultant equation" $E(x) = 0$. If this is possible, then for each intersection point (x_0, y_0) of the two curves the abscissa x_0 is a zero of $E(x) = 0$. In our example,

$$Fg_0 - Gf_0 = y[(f_1g_0 - g_1f_0) + (f_2g_0 - g_2f_0)y]$$

implies

$$(f_1g_0 - g_1f_0) + (f_2g_0 - g_2f_0)y = 0. \quad (2.3)$$

We also have

$$Fg_2 - Gf_2 = (f_0g_2 - g_0f_2) + (f_1g_2 - g_1f_2)y = 0. \quad (2.4)$$

By eliminating y from (2.3) and (2.4) we obtain the resultant equation

$$E(x) = (f_1g_0 - g_1f_0)(f_1g_2 - g_1f_2) - (f_2g_0 - g_2f_0)^2 = 0. \quad (2.5)$$

Note that the f_i 's and g_k 's in (2.5) are polynomials in x . It is obvious that E is the zero polynomial if F and G have a common factor in $\mathbb{R}[x, y] \setminus \mathbb{R}[x]$.

We now consider the general case of two polynomials in two indeterminates. Let $F, G \in \mathbb{R}[x, y]$ be given by

$$F(x, y) = f_0 + f_1y + \cdots + f_ny^n$$

and

$$G(x, y) = g_0 + g_1y + \cdots + g_my^m \quad (2.6)$$

with $f_i, g_j \in \mathbb{R}[x]$, $i = 0, 1, \dots, n$, $j = 0, 1, \dots, m$, $f_n \neq 0$, and let (F, G) be the ideal generated by F and G . If all common divisors of F and G are in $\mathbb{R}[x]$, then the elimination ideal

$$(E) = (F, G) \cap \mathbb{R}[x]$$

is nonzero. In Chapter 19 ("De intersectione curvarum") of [4] Euler presents two methods which lead to a polynomial in (E) .

In the first method it is assumed without loss of generality that $m = n$. Euler constructs two polynomials \tilde{F}, \tilde{G} in (F, G) which are of degree less than n in y . Define

$$\tilde{F} = Fg_n - Gf_n$$

and

$$\begin{aligned} \tilde{G} = & F(g_ny^{n-1} + g_{n-1}y^{n-2} + \cdots + g_1) \\ & - G(f_ny^{n-1} + f_{n-2}y^{n-2} + \cdots + f_1). \end{aligned}$$

Then

$$\tilde{F} = \sum_{i=0}^{n-1} (f_i g_n - g_i f_n) y^i.$$

Step by step the degree is pushed down until the algorithm terminates with a polynomial in $\mathbb{R}[x]$.

In his second method Euler obtains a polynomial in (E) by solving a system of linear equations. The goal—in Euler's words [4]—is to fuse the

equations $F(x, y) = 0$ and $G(x, y) = 0$ into a single equation which does not contain y any more. For that purpose multiply F by

$$A(x, y) = \sum_{\mu=0}^{m-1} a_{\mu} y^{\mu}, \quad a_{\mu} \in \mathbb{R}(x)$$

and G by

$$B(x, y) = \sum_{\nu=0}^{n-1} b_{\nu} y^{\nu}, \quad b_{\nu} \in \mathbb{R}(x).$$

The coefficients a_{μ}, b_{ν} are to be determined in such a way that the terms in FA and GB which belong to the same powers of y are “mutually destroyed.” Then $FA = GB$ is the desired equation from which y is absent. Euler puts $a_{m-1} = g_m$ and $b_{n-1} = f_n$ and notes the following equations:

$$f_n g_m = f_n g_m,$$

$$f_n a_{m-2} + f_{n-1} g_m = g_m b_{n-1} + g_{m-1} f_n,$$

$$f_n a_{m-3} + f_{n-1} a_{m-2} + f_{n-2} g_m = g_m b_{n-2} + g_{m-1} b_{n-1} + g_{m-2} f_n,$$

$$f_n a_{m-4} + f_{n-1} a_{m-3} + f_{n-2} a_{m-2} + f_{n-3} g_m = g_m b_{n-3} + g_{m-1} b_{n-2} + g_{m-2} b_{n-1} + g_{m-1} f_n.$$

⋮

If we define $c = f_0 a_0 - g_0 b_0$ then $c \in \mathbb{R}(x)$. Euler’s approach is described by the linear system

$$(F_{(m)}, -G_{(n)})(a_{m-1}, \dots, a_0, b_{n-1}, \dots, b_0)^T = (0, \dots, 0, c)^T, \quad (2.7)$$

$$a_{m-1} = g_m, \quad b_{n-1} = f_n.$$

Except for the minus sign of $G_{(n)}$, the coefficient matrix of (2.7) is the resultant matrix H of F and G , and $\det H \in (E)$.

In his memoir [1] Bezout goes beyond Euler's degree reduction method. Again let $m = n$ in (2.6). From F and G , n equations of degree $n - 1$ are derived which allow a simultaneous elimination of y . Put

$$F^{(i)} = f_i + f_{i+1}y + \cdots + f_n y^{n-i}$$

and

$$G^{(i)} = g_i + g_{i+1}y + \cdots + g_n y^{n-1},$$

$i = 1, 2, \dots, n$, and define

$$B^{(i)} = FG^{(i)} - GF^{(i)}, \quad i = 1, 2, \dots, n.$$

Then $B^{(i)} = (f_0 + \cdots + f_{i-1}y^{(i-1)})G^{(i)} - (g_0 + \cdots + g_{i-1}y^{i-1})F^{(i)}$ is of degree $n - 1$ in y and $B^{(i)} \in (F, G)$. Note that Euler worked with the first and the last of those polynomials, namely with $B^{(1)}$ and $B^{(n)}$. Now let a matrix $B \in \mathbb{R}^{n \times n}[x]$ be defined by

$$\begin{pmatrix} B^{(1)} \\ \vdots \\ B^{(n)} \end{pmatrix} = B \begin{pmatrix} 1 \\ y \\ \vdots \\ y^{n-1} \end{pmatrix}. \quad (2.8)$$

Bezout [1, p. 319] considers the powers y^ν , $\nu = 0, \dots, n - 1$, as unknowns in a system of n linear equations given by (2.8). Based on Cramer's results on determinants, one has to determine the necessary condition for the solvability of the n linear equations, which yields an (algebraic) equation in x . Bezout actually works out completely an example of degree $n = 4$. He suggests that one might establish a general rule for equations of arbitrary high degree. "But," he adds, "this is a task to which I invite those who are lucky enough to have more time at their disposal than I do" [1, pp. 328–329].

With the determinant and the adjoint of B we can argue as follows. Let $(c_1, \dots, c_n) \in \mathbb{R}^{1 \times n}[x]$ be the first row of $\text{adj}(B)$. Then (2.8) implies

$$\text{adj}(B) \begin{pmatrix} B^{(1)} \\ \vdots \\ B^{(n)} \end{pmatrix} = \det B \begin{pmatrix} 1 \\ y \\ \vdots \\ y^{n-1} \end{pmatrix},$$

and

$$\sum_{i=1}^n c_i B^{(i)} = \det B.$$

Therefore

$$\det B \in (F, G) \cap \mathbb{R}[x].$$

We observe that the generating polynomial (1.1) does not appear in Bezout's paper [1]. From

$$B \begin{pmatrix} 1 \\ y \\ \vdots \\ y^{n-1} \end{pmatrix} = \begin{pmatrix} B^{(1)} \\ \vdots \\ B^{(n)} \end{pmatrix} = F \begin{pmatrix} G^{(1)} \\ \vdots \\ G^{(n)} \end{pmatrix} - G \begin{pmatrix} F^{(1)} \\ \vdots \\ F^{(n)} \end{pmatrix} \tag{2.9}$$

the following representation of B is immediate:

$$B = \begin{pmatrix} f_1 & f_2 & \cdot & \cdot & \cdot & f_n \\ f_2 & & & & & \\ \vdots & & & & & \\ \vdots & \cdot & & & & \\ f_n & & & & \circ & \circ \end{pmatrix} \begin{pmatrix} g_0 & \cdot & \cdot & \cdot & g_{n-2} & g_{n-1} \\ \cdot & & & & & g_{n-2} \\ \cdot & & & & & \cdot \\ \cdot & & & & & \cdot \\ \cdot & & & & & \cdot \\ g_0 & & & & & \cdot \end{pmatrix} \\ - \begin{pmatrix} g_1 & g_2 & \cdot & \cdot & \cdot & g_n \\ g_2 & & & & & \\ \cdot & & & & & \\ \cdot & \cdot & & & & \\ g_n & & & & \circ & \circ \end{pmatrix} \begin{pmatrix} f_0 & \cdot & \cdot & \cdot & f_{n-2} & f_{n-1} \\ \cdot & & & & & f_{n-2} \\ \cdot & & & & & \cdot \\ \cdot & & & & & \cdot \\ \cdot & & & & & \cdot \\ f_0 & & & & & \cdot \end{pmatrix}. \tag{2.10}$$

Hence (2.10) should be considered as Bezout's matrix.

It was Cayley [2] who claimed that the matrices B in (1.1) and (2.9) are the same. He proved his statement for $n = 2$ and $n = 3$. (This is another illustration of Cayley's attitude that proofs were only a secondary aspect of mathematical discovery [3].)

A more detailed investigation of Bezout's system of equations (2.8) and the corresponding coefficient matrix B was made by Jacobi. In [5] Jacobi points out that B is symmetric and that

$$\sum_{i,j=1}^{n-1} x^{i-1} b_{ij} x^{j-1} = F(x)G'(x) - G(x)F'(x).$$

He also shows that $\det B = 0$ implies the existence of a common factor D of F and G .

In the work of all the authors mentioned above, the coefficients of F and G are polynomials. If the preceding methods are used for elimination in $\mathbb{R}[x]$, then the matrices H and B which are associated to $F, G \in \mathbb{R}[x]$ have their entries in \mathbb{R} . The elimination ideal $(F, G) \cap \mathbb{R}$ is either (1) or (0). Hence $\det H \neq 0$ (or equivalently $\det B \neq 0$) if and only if $(F, G) = 1$.

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